

Extended deadlines for regulatory submissions

(A) Banking returns / auditors' reports under the Banking Ordinance

A.1. Deferred implementation of revised submission deadlines for selected returns specified in the HKMA circular of 16 November 2020 (Ref.: B4/1C) –

A.1.1 In general, the original effective date (i.e. from the position of March 2022) of the revised submission timeline (from six weeks to one month after quarter-end) for the returns as promulgated in the [circular](#) and [annex](#) (together with the Return of Leverage Ratio based on the annex as updated in December 2021) will be deferred, such that the new submission timeline for the returns will only kick in for the position of Sep 2022 onward.

A.1.2 For the Combined Return of Assets and Liabilities (MA(BS)1B), one more month (beyond the existing 6 week submission timeline) will be granted for AIs to submit the return for the position of March 2022 (i.e. 13 June 2022). For the position of June 2022, the submission timeline will be 6 weeks (i.e. 11 August 2022), and for the position of September 2022 onwards, the submission timeline will follow the one-month submission timeline according to the circular.

A.2. Extension of submission deadlines or suspension of submission for other banking returns / auditors' reports –

Items	Original deadline for next submission	Deferred submission date	Suspended
Return of Consolidated Accounts	12 May 2022	N.A.	Submission to resume starting from the position of end-Sep 2022
S.63(3)/63(3A) reports (for AIs whose original submission dates fall due between March and June 2022)	As agreed with HKMA	29 Jul 2022	N.A.

Items	Original deadline for next submission	Deferred submission date	Suspended
Return of Mandatory Provident Fund Related Activities	21 April 2022	31 May 2022	N.A.

(B) Surveys

Items	Original deadline for next submission(s)	Deferred submission date(s)	Suspended
Survey on Taxi Loans	14 Mar 2022	N.A.	Submission to resume starting from the position of end-May 2022
Quarterly Survey on Credit Risk Management of Share Margin Financing	31 Mar 2022	N.A.	Submission to resume starting from the position of end-Jun 2022
Quarterly Survey on Credit Cards and Personal Loans	14 Apr 2022	N.A.	Submission to resume starting from the position of end-Jun 2022
Quarterly Survey on New Personal-Lending Portfolio	14 Apr 2022	N.A.	Submission to resume starting from the position of end-Jun 2022
Quarterly Survey on loans to professionals and private individuals for other private purposes	21 Apr 2022	N.A.	Submission to resume starting from the position of end-Jun 2022
Survey on Debt Securities Held	28 Apr 2022 (HK office or combined position) 12 May 2022 (Consolidated position)	30 May 2022 13 Jun 2022	N.A.

Items	Original deadline for next submission(s)	Deferred submission date(s)	Suspended
Survey on Estimated LCR	30 Apr 2022	N.A.	Submission to resume starting from the position of end-Jun 2022
Survey on Reform of Interest Rate Benchmarks	13 May 2022	N.A.	Submission to resume starting from the position of end-Jun 2022
HKMA-SFC Joint Survey on Sale of Non-exchange Traded Investment Products	11 March 2022	19 April 2022	N.A.
Survey on Cross-boundary Wealth Management Connect Scheme	8 April 2022 10 May 2022	19 April 2022 17 May 2022	N.A.
Quarterly survey on enquiries about bogus phone calls / messages	4 April 2022	31 May 2022	N.A.
Quarterly survey on credit card merchant acquiring business	14 April 2022	31 May 2022	N.A.
Quarterly survey on instalment payment plan business	28 April 2022	31 May 2022	N.A.
Survey on Adoption of Phase 2 of the Insurance Authority's Temporary Facilitative Measures	11 March 2022 14 April 2022	31 May 2022 31 May 2022	N.A.
Survey on AIs' Preparation for Participation in the Credit Reference Platform	11 March 2022	18 March 2022	N.A.

(C) **Self-assessments**

Items	Original deadline for next submission	Deferred submission date	Suspended
ORM Independent Compliance Assessment	13 May 2022	30 Jun 2022	N.A.
Self-assessment of Compliance with the Code of Banking Practice 2021	29 April 2022	31 May 2022	N.A.

(D) **Consultations**

Items	Original deadline for next submission	Deferred submission date	Suspended
Consultation on Introduction of Enhanced Competency Framework on Compliance	21 March 2022	25 April 2022	N.A.
Consultation on Code of Practice chapter on Liquidity and Funding in Resolution	14 March 2022	25 April 2022	N.A.
Consultation on Guidance Paper on Name Screening, Transaction Monitoring and Suspicious Transaction Reporting	23 March 2022	31 May 2022	N.A.

(E) **Other statistics / indicators**

Items	Original deadline for next submission(s)	Deferred submission date	Suspended
Monthly statistics on remote on-boarding for selected AIs	7 April 2022 (for March statistics) 6 May 2022 (for April statistics)	7 June 2022	N.A.
Monthly performance indicators of the AML/CFT control systems for virtual banks	7 April 2022 (for March indicators) 6 May 2022 (for April indicators)	7 June 2022	N.A.
Quarterly Financial Crime Data Return	13 May 2022	10 June 2022	N.A.
Monthly account opening statistics for personal accounts, corporate accounts and Simple Bank Accounts (SBAs)	15 April 2022 16 May 2022	31 May 2022 31 May 2022	N.A.