



HONG KONG MONETARY AUTHORITY
香港金融管理局

Our Ref: B1/15C

19 March 2021

The Chief Executive
All Authorized Institutions

Dear Sir/Madam,

Sixth Issue of Regtech Watch

I enclose the sixth issue of the Regtech Watch for your reference.

This issue focuses on how technology applications can help authorized institutions manage risks associated with treasury activities, encompassing operational risk, legal risk, liquidity risk and market risk. It illustrates how Regtech may strengthen risk management controls in treasury operations which involve the scrutiny of a large volume of trading data spanning multiple systems.

If your institution has any questions regarding Regtech or any feedback on this newsletter series, please feel free to contact Mr Franky Yick on 2878-1458 or Mr Ken Wong on 2878-1469.

Yours faithfully,

Raymond Chan
Executive Director (Banking Supervision)

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