



SECRET
高度機密

Co. No. · MM YY CAT
代號 月 年 類別
(For Official Use Only)
(只供本局填寫)

under the Banking Ordinance
根據《銀行業條例》

CERTIFICATE OF COMPLIANCE WITH THE BANKING ORDINANCE
OF AN AUTHORIZED INSTITUTION INCORPORATED OUTSIDE HONG KONG
在香港以外註冊的認可機構遵守《銀行業條例》證明書

for the quarter ended.....
在結束於 (last day of the quarter 該季最後一天) 一季內的狀況

Name of Authorized Institution 認可機構名稱	Date of Submission 遞交日期
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The Banking Ordinance
《銀行業條例》

Information requested in this return is required under section 63(2) of the Banking Ordinance. The return should be submitted to the Monetary Authority not later than 14 days after the end of each quarter ending on 31 March, 30 June, 30 September and 31 December, unless otherwise advised by the Monetary Authority.

本申報內的資料是根據《銀行業條例》第 63(2)條所要求的。除金融管理專員另有指示外，本申報表須於每季終結即三月三十一日、六月三十日、九月三十日及十二月三十一日後十四天內交回金融管理專員。

Note: This certificate is to be prepared in accordance with the completion instructions issued by the Monetary Authority.

註：本證明書須按金融管理專員發出的填報指示填寫。

- We certify that this certificate is, to the best of our knowledge and belief, correct.
我們據所知及確信，證明本證明書內容均屬正確無誤。
- Please put a "X" in the "Yes" column if the institution has contravened the following respective sections of the Banking Ordinance during the reporting period. If not, please put a "X" in the "No" column.
若機構在申報期內違反了下列《銀行業條例》的條文，請在相應的「是」欄內填上「X」。如沒有違反該等條文，則在相應的「否」欄內填上「X」。

Yes 是 No 否
(Please put a "X"
請填上「X」)

(a) No advance, etc., against security of own shares, etc., within the meaning of section 80, other than those exempted under section 80(2), was granted by the institution.

除根據第 80(2)條獲豁免者外，申報機構並無批給第 80 條所指以本身股份作保證的放款等。

(b) Outstanding unsecured facilities to employees, within the meaning of section 85, did not exceed the limit specified in that section.

第 85 條所指的向僱員提供的未償還無保證融通並無超越該條指明的限額。

(c) The liquidity ratio, within the meaning of section 102, was not less than 25 percent in each calendar month.

第 102 條所指的流動資產比率在每個公曆月內並不少於 25%。

(d) Rules made under section 60A(1)

根據第 60A(1)條訂立的規則

If the answer to (d) is "yes", please specify the relevant section(s) of the Rules that were contravened

如於第(d)項填報“有”者，請列明所違反規則內的有關條次。

If the institution is exempted by the Monetary Authority for disclosures required under the Rules, please tick this box .

已獲金融管理專員豁免按規則披露的申報機構，請在方格內填上“√”號。

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Chief Accountant
會計主任

.....
Chief Executive
行政總裁

.....
Name
姓名

.....
Name
姓名

Name and telephone number of responsible person who may be contacted by the Monetary Authority in case of any query.
金融管理專員需要查詢時可聯絡的負責人姓名和電話號碼。

.....
Name
姓名

.....
Telephone Number
電話號碼