



HONG KONG MONETARY AUTHORITY  
香港金融管理局

Our Ref.: B1/15C

7 August 2024

The Chief Executive  
All Registered Institutions

Dear Sir / Madam,

**Revised Return of Securities Related Activities (Securities Return)**

I am writing to enclose the Securities Return and the related completion instructions which have been revised following consultation with the industry associations in **Annex 1** and **Annex 2**. Changes to the last version in use are highlighted to facilitate the preparation work of reporting institutions.

These changes aim to expand the Securities Return to capture additional information relating to regulated activities carried on by Registered Institutions (RIs) under the Securities and Futures Ordinance (SFO), including Type 13 regulated activity (i.e. providing depository services for relevant collective investment schemes) newly introduced under the SFO.

The reporting frequency of the revised Securities Return remains unchanged, i.e. RIs should submit the Securities Return every 6 months not later than 21 days after the end of June and December each year. The first reporting period of the revised Securities Return will be from 1 July to 31 December 2024. The submission deadline of the first revised Securities Return will be on 21 January 2025.

The revised Securities Return is being incorporated into the template of the STET (Submission Through Electronic Transmission) system, which will be available later this year.

This circular supersedes four relevant circulars on the Securities Return previously issued by the HKMA as set out in **Annex 3**.

Should you have any questions relating to the above, please contact Mr Andy Lam at 2878-8790 or Mr Eric Mok at 2878-1405.

Yours faithfully,

Alan Au  
Executive Director (Banking Conduct)

Encl.

c.c. The Chairman, The Hong Kong Association of Banks  
The Chairman, The DTC Association  
The Chairman, Private Wealth Management Association  
Securities and Futures Commission  
(Attn: Mr Eric Yip, Executive Director (Intermediaries))