



HONG KONG MONETARY AUTHORITY
香港金融管理局

Banking Supervision Department

Our Ref.: B10/1C
B1/15C

7 October 2015

The Chief Executive
All Authorized Institutions

Dear Sir/Madam,

Anti-Money Laundering Seminars 2015

I am writing to inform you that the HKMA will host anti-money laundering seminars on 3 and 5 November 2015. The purpose of the seminars is to provide authorized institutions (AIs) with the latest regulatory developments on anti-money laundering and counter-terrorist financing (AML/CFT) and the supervisory expectations on assessment of money laundering and terrorist financing risks.

Speakers will be from the HKMA and the industry. Compliance Officers, Money Laundering Reporting Officers or other managing staff with the responsibility to implement AML/CFT systems should attend the seminars. Each AI may nominate up to two representatives for the two seminars. Details of the seminars and registration form are attached. Please complete and return the registration form to the HKMA by email at amlseminar@hkma.iclnet.hk **on or before 23 October 2015**. Late submission will not be entertained.

If you have any questions regarding the seminars, please contact Ms Dilys Cheung at 2878 1605.

Yours faithfully,

Stewart McGlynn
Head (Anti-Money Laundering and Financial Crime Risk)

Encl.

Anti-Money Laundering Seminars 2015

- Date:** Seminar 1: 3 November 2015 (Tuesday)
Seminar 2: 5 November 2015 (Thursday)
(Two seminars will cover the same materials)
- Time** 9:30 am – 12:00 noon
(Registration will start at 9:10 am)
- Venue:** G/F, Lecture Theatre, Hong Kong Central Library
66 Causeway Road, Causeway Bay, Hong Kong

Please use rear entrance (i.e. the entrance facing Moreton Terrace Temporary Playground) of the Central Library to enter the Lecture Theatre.

Medium: English

Application: Each AI should only submit one registration form. Please return the form to the HKMA by email (using ICLNet) (amlseminar@hkma.iclnet.hk) on or before **23 October 2015**.

- Quota:**
- AIs may nominate up to a total of **two** representatives for the two seminars. Seats will be allocated on a first-come, first-served basis. Where a preferred seminar is full, an alternative seminar will be allocated.
 - If AIs want to nominate more than two representatives, please include additional names in the registration form. Additional seats will be allocated as far as possible, subject to availability and priority will be given to larger institutions.
 - Unless otherwise advised, you may assume that your representative is admitted to the seminar if the registration form is submitted to the HKMA before the deadline. **No separate confirmation** will be issued to individual institutions or participants unless the additional nomination is unsuccessful or the representative has been allocated to an alternative seminar.
 - In an event a registered representative cannot attend the seminar, please inform the HKMA as soon as possible.

Anti-Money Laundering Seminars 2015

Programme on 3 and 5 November 2015

Time	Topics	Speakers
9:10 – 9:30	Registration	
9:30 – 9:40	Update on Hong Kong's ML/TF Risk Assessment	Ms Sophia Lam Senior Manager AML & Financial Crime Risk Hong Kong Monetary Authority
9:40 – 10:20	Regulatory Update – Common Deficiencies Identified in AML/CFT On-site Examinations	Mr Stewart McGlynn Division Head AML & Financial Crime Risk Hong Kong Monetary Authority
10:20 – 10:40	Break	
10:40 – 11:00	Institutional Risk Assessment – Observation and Common Deficiencies	Ms Maggie Wong Senior Manager AML & Financial Crime Risk Hong Kong Monetary Authority
11:00 – 11:30	Institutional Risk Assessment – Industry Experience Sharing	To be confirmed
11:30 – 12:00	Questions & Answers	Chaired by Hong Kong Monetary Authority