



HONG KONG MONETARY AUTHORITY
香港金融管理局

Our Ref: B1/15C
G16/1C

10 October 2011

The Chief Executive
All Registered Institutions

Dear Sir / Madam,

Circular issued by the Securities and Futures Commission (SFC) regarding new investor publications available for distribution

I am writing to draw your attention to the attached circular issued by the SFC on 3 October 2011 (the SFC Circular) on two new booklets, namely “Know Your Rights in the Sales Process” and “How to Read Product Key Facts Statements (2011 edition)” that were added to the SFC’s list of investor publications.

Registered institutions (RIs) are invited to help distribute to the investing public the booklets and other relevant and available investor publications listed in the Annex to the SFC Circular.

RIs should, from time to time, take note of the latest list of the SFC’s investor publications. For instance, the SFC has ceased printing certain education leaflets including “How Much Do You Know About Hedge Funds”, “Ten Questions to Ask Before Investing in a Fund” and “Fund Investing”.

Should you have any questions or require copies of the booklets for distribution, please contact Ms Crystal Lau of the SFC at 2840 9549.

Yours faithfully,

Meena Datwani
Executive Director (Banking Conduct)

Encl.

c.c. SFC (Attn: Ms Ivy Lai, Director, External Relations)