

**List of Registered Institutions that the HKMA intends to invite participation in the 2009 Self-Assessment on Compliance with the HKMA Supervisory Policy Manual Module SB-1 and Other Requirements over Regulated Activities**

- 1 ABN AMRO BANK N.V.
- 2 AIG PRIVATE BANK LTD
- 3 BANC OF AMERICA SECURITIES ASIA LIMITED
- 4 BANK OF AMERICA, NATIONAL ASSOCIATION
- 5 BANK OF CHINA (HONG KONG) LIMITED
- 6 BANK OF COMMUNICATIONS CO., LTD.
- 7 BANK OF EAST ASIA, LIMITED (THE)
- 8 BANK SINOPAC
- 9 BARCLAYS BANK PLC
- 10 BNP PARIBAS
- 11 BNP PARIBAS WEALTH MANAGEMENT
- 12 CALYON
- 13 CANADIAN IMPERIAL BANK OF COMMERCE
- 14 CHINA CONSTRUCTION BANK (ASIA) CORPORATION LIMITED
- 15 CHIYU BANKING CORPORATION LIMITED
- 16 CHONG HING BANK LIMITED
- 17 CITIBANK (HONG KONG) LIMITED
- 18 CITIBANK, N.A.
- 19 CITIC KA WAH BANK LIMITED
- 20 CITICORP INTERNATIONAL LIMITED
- 21 COOPERATIEVE CENTRALE RAIFFEISEN - BOERENLEENBANK B.A.
- 22 CREDIT AGRICOLE (SUISSE) SA
- 23 CREDIT SUISSE
- 24 DAH SING BANK, LIMITED
- 25 DBS BANK (HONG KONG) LIMITED
- 26 DBS BANK LTD.
- 27 DEUTSCHE BANK AKTIENGESELLSCHAFT
- 28 EFG BANK
- 29 FORTIS BANK
- 30 FUBON BANK (HONG KONG) LIMITED
- 31 HANG SENG BANK, LIMITED
- 32 HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED (THE)
- 33 HSBC BANK INTERNATIONAL LIMITED
- 34 HSBC PRIVATE BANK (SUISSE) SA
- 35 INDUSTRIAL AND COMMERCIAL BANK OF CHINA (ASIA) LIMITED
- 36 ING BANK N.V.
- 37 J. P. MORGAN SECURITIES (ASIA PACIFIC) LIMITED
- 38 JPMORGAN CHASE BANK, NATIONAL ASSOCIATION
- 39 MEGA INTERNATIONAL COMMERCIAL BANK CO., LTD.
- 40 MEVAS BANK LIMITED
- 41 MITSUBISHI UFJ SECURITIES (HK) CAPITAL, LIMITED
- 42 NANYANG COMMERCIAL BANK, LIMITED
- 43 NEWEDGE GROUP
- 44 PUBLIC BANK (HONG KONG) LIMITED
- 45 RBS COUTTS BANK AG
- 46 ROYAL BANK OF CANADA
- 47 SHANGHAI COMMERCIAL BANK LIMITED
- 48 SOCIETE GENERALE
- 49 SOCIETE GENERALE ASIA LIMITED
- 50 SOCIETE GENERALE BANK & TRUST
- 51 STANDARD CHARTERED BANK (HONG KONG) LIMITED
- 52 UBS AG
- 53 UNITED OVERSEAS BANK LTD.
- 54 WING HANG BANK, LIMITED
- 55 WING LUNG BANK LIMITED
- 56 WOORI GLOBAL MARKETS ASIA LIMITED