

Reporting on Bond Connect Failed Trades

To : Hong Kong Monetary Authority
Facsimile : (852) 2878 1676-9

Date: _____

From : _____ (_____)
Name of Participant Code

For CMU Account no.: _____ value on _____

CSD Code : ☐ CDC=CCDC ☐ SHC=SHCH

Participant's TRN : _____
(max 16 chars)

HRN/Original deal code/ Participant's TRN* for cancellation: _____

CEFTS Trade Reference no. : _____

Transaction Type : DvP transaction ☐ Primary ☐ Secondary

Settlement Date : _____

Reason for failed trade : _____

Person to contact in case of query: _____ (Tel: _____)

or e-mail: _____

HKMA use only
Signature(s)/Test No.
Verified by:

Authorized Signature(s) or Test Number on CCY/amount
Company Chop

IMPORTANT: Please send this report to CMU no later than 5:00 pm on the settlement date.