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Co. No. MM YY (For Official use Only)

under the Banking Ordinance

CERTIFICATE OF COMPLIANCE WITH THE BANKING ORDINANCE OF AN AUTHORIZED INSTITUTION INCORPORATED OUTSIDE HONG KONG

for the quarter ended (last day of the quarter)

Table with 2 columns: Name of Authorized Institution, Date of Submission

The Banking Ordinance

Information requested in this return is required under section 63(2) of the Banking Ordinance. The return should be submitted to the Monetary Authority not later than 14 days after the end of each quarter ending on 31 March, 30 June, 30 September and 31 December, unless otherwise advised by the Monetary Authority.

Note: This certificate is to be prepared in accordance with the completion instructions issued by the Monetary Authority.

- 1. We certify that this certificate is, to the best of our knowledge and belief, correct.
2. Please put a "X" in the "Yes" column if the institution has contravened the following respective sections of the Banking Ordinance during the reporting period. If not, please put a "X" in the "No" column.

Table with 2 columns: Question, Yes (Please put a "X"), No (Please put a "X")

If the answer to (d) is "yes", please specify the relevant section(s) of the Rules that were contravened

If the institution is exempted by the Monetary Authority for disclosures required under the Rules, please tick this box

Chief Accountant Name

Chief Executive Name

Name and telephone number of responsible person who may be contacted by the Monetary Authority in case of any query.

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*Name*

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*Telephone Number*