

**E**

**SECRET**

Co. No. MM YY CAT

(For Official Use Only)

**under the Banking Ordinance**

**RETURN OF LARGE EXPOSURES**

**POSITION OF**

**\*LOCAL OFFICE(S)/LOCAL OFFICES AND OVERSEAS BRANCHES/CONSOLIDATED RETURN**

for the quarter ended .....  
(last day of the quarter)

\* Delete where inapplicable

|                                |                    |
|--------------------------------|--------------------|
| Name of Authorized Institution | Date of Submission |
|--------------------------------|--------------------|

**The Banking Ordinance**

Return required under section 63(2) of the Banking Ordinance to be submitted to the Monetary Authority not later than 6 weeks after the end of each quarter ending on 31 March, 30 June, 30 September and 31 December, unless otherwise advised by the Monetary Authority.

*Note:* This return is to be prepared in accordance with the completion instructions issued by the Monetary Authority.

We certify that this return is, to the best of our knowledge and belief, correct.

\_\_\_\_\_  
*Chief Accountant*

\_\_\_\_\_  
*Chief Executive*

\_\_\_\_\_  
*Name*

\_\_\_\_\_  
*Name*

Name and telephone number of responsible person who may be contacted by the Monetary Authority in case of any query.

\_\_\_\_\_  
*Name*

\_\_\_\_\_  
*Telephone Number*

**I. Large exposures to non-bank entities**

(a) Exposures to any connected party exceeding 5% of capital base during the reporting period

(in HK\$'000)

| Name of entity | Maximum exposure in reporting period | Exposures at reporting date |                               |  |                      |                      | Nature of Exposures * |                    |                             | Information on security cover **                            |
|----------------|--------------------------------------|-----------------------------|-------------------------------|--|----------------------|----------------------|-----------------------|--------------------|-----------------------------|---|
|                |                                      | On-balance sheet exposures  | Off-balance sheet exposures   |  | Total exposures      |                      | Direct exposures      | Indirect exposures | Including exposures to bank | Fully secured (F)<br>Partially secured (P)<br>Unsecured (U) |
|                |                                      |                             | Commitments and contingencies | Foreign exchange and interest rate contracts | Amount = (2)+(3)+(4) | As % of capital base |                       |                    |                             |   |
|                | (1)                                  | (2)                         | (3)                           | (4)  | (5)                  |                      |                       |                    |                             |   |
|                |                                      |                             |                               |  |                      |                      |                       |                    |                             |   |
| <b>Total</b>   |                                      |                             |                               |  |                      |                      |                       |                    |                             |   |

\* Please tick as appropriate

\*\* Please indicate F, P or U, as the case may be

**Memorandum items**

Aggregate exposures to connected parties

- (i) Secured \$ \_\_\_\_\_
- (ii) Unsecured \$ \_\_\_\_\_
- (iii) Total \$ \_\_\_\_\_

(b) Ten largest other exposures and all those exceeding 10% of capital base during the reporting period

(in HK\$'000)

| Name of entity | Maximum exposure in reporting period | Exposures at reporting date |                               |  |                      |                      | Nature of Exposures * |                    |                             | Information on security cover **                            |
|----------------|--------------------------------------|-----------------------------|-------------------------------|--|----------------------|----------------------|-----------------------|--------------------|-----------------------------|---|
|                |                                      | On-balance sheet exposures  | Off-balance sheet exposures   |  | Total exposures      |                      | Direct exposures      | Indirect exposures | Including exposures to bank | Fully secured (F)<br>Partially secured (P)<br>Unsecured (U) |
|                |                                      |                             | Commitments and contingencies | Foreign exchange and interest rate contracts | Amount = (2)+(3)+(4) | As % of capital base |                       |                    |                             |   |
| (1)            | (2)                                  | (3)                         | (4)                           | (5)  |                      |                      |                       |                    |                             |   |
|                |                                      |                             |                               |  |                      |                      |                       |                    |                             |   |
| Total          |                                      |                             |                               |  |                      |                      |                       |                    |                             |   |

\* Please tick as appropriate

\*\* Please indicate F, P or U, as the case may be

**II. Ten largest exposures (and all those exposures exceeding 10% of capital base) to banks during the reporting period**

(in HK\$'000)

| Name of bank | Maximum exposure in reporting period | Exposures at reporting date |                               |  |                      |                      | Nature of Exposures * |                    |                                 | Information on security cover **                            |
|--------------|--------------------------------------|-----------------------------|-------------------------------|--|----------------------|----------------------|-----------------------|--------------------|---------------------------------|---|
|              |                                      | On-balance sheet exposures  | Off-balance sheet exposures   |  | Total exposures      |                      | Direct exposures      | Indirect exposures | Including exposures to non-bank | Fully secured (F)<br>Partially secured (P)<br>Unsecured (U) |
|              |                                      |                             | Commitments and contingencies | Foreign exchange and interest rate contracts | Amount = (2)+(3)+(4) | As % of capital base |                       |                    |                                 |   |
| (1)          | (2)                                  | (3)                         | (4)                           | (5)  |                      |                      |                       |                    |                                 |   |
|              |                                      |                             |                               |  |                      |                      |                       |                    |                                 |   |
| Total        |                                      |                             |                               |  |                      |                      |                       |                    |                                 |   |

\* Please tick as appropriate

\*\* Please indicate F, P or U as the case may be