

# **SECRET**



(For Official Use Only)

# under the Banking Ordinance

# RETURN OF LARGE EXPOSURES

\*LOCAL OFFICE(S)/LOCAL OFFICES AND OVERSEAS BRANCHES/CONSOLIDATED POSITION

for the quarter ended	
•	st day of the quarter)
* Delete where inapplicable	
Name of Authorized Institution	Date of Submission
The	Banking Ordinance
Information requested in this Return is required unsubmitted to the Monetary Authority not later than experience and 31 December, unless otherwise advis	6 weeks after the end of each quarter ending on 31 March, 30 June, 30
Note: This Return is to be prepared in accord	dance with the Completion Instructions issued by the Monetary
We certify that this Return is, to the best of our	knowledge and belief correct
,	
Chief Accountant	Chief Executive
Name	Name
Nume	Nume
Name and telephone number of responsible person v	who may be contacted by the Monetary Authority in case of any query.
N a m e	Telephone Number
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#### I. Exposures to any non-bank connected party equal to or exceeding 5% of capital base during the reporting period

(in HK\$'000)

		Exposures at reporting date						
	exposure in reporting period	On-balance sheet			Total e	Security cover *		
Name of entity		arising from OTC derivative	exposures arising	Default risk exposures arising from OTC derivative transactions and credit derivative contracts	Amount =(2)+(3)+(4)	As % of capital base	Direct Exposures	Fully secured (F) Partially secured (P) Unsecured (U)
	(1)	(2)	(3)	(4)	(5)		(%)	
Total								

<sup>\*</sup> Please indicate F, P or U, as the case may be

#### Memorandum items

Aggregate exposures to connected parties

(i) Secured	\$
(ii) Unsecured	\$
(iii) Total	\$

## II. Ten largest non-bank exposures (and all those equal to or exceeding 10% of capital base) during the reporting period

	T	T									(in HK\$'00
		Exposures at reporting date									Security cover*
	Manimum	On-balance sheet	nce sheet Off-balance Default risk			Total exposures				Exposures not exempted under s.81	
Name of entity	Maximum exposure in reporting period	exposures (excluding those arising from OTC derivative transactions and credit derivative contracts)  Off-balance sheet exposures arising from commitments and contingencies		A mount $=(2)+(3)+(4)$	As % of capital base	Direct exposures	Including bank exposures	Amount	As % of capital base	Fully secured Partially secured Unsecured(U)	
	(1)	(2)	(3)	(4)	(5)		(%)	(%)			

<sup>\*</sup> Please indicate F, P or U, as the case may be

## III. Ten largest bank exposures (and all those equal to or exceeding 10% of capital base) during the reporting period

(in HK\$'000)

	Т.	1							(in HK\$'000)
		Exposures at reporting date							
	Maximum exposure in reporting period		arising from commitments	Default risk exposures arising from OTC derivative transactions and credit derivative contracts	Total exposures				Security cover*
Name of bank		On-balance sheet exposures (excluding those arising from OTC derivative transactions and credit derivative contracts)			Amount =(2)+(3)+(4)	As % of capital base	Direct exposures	Including non-bank exposures	Fully secured (F) Partially secured (P) Unsecured (U)
	(1)	(2)	(3)	(4)	(5)		(%)	(%)	
Total									

<sup>\*</sup> Please indicate F, P or U as the case may be