

SECRET

under the Banking Ordinance

RETURN OF INSURANCE RELATED ACTIVITIES

Position of the Hong Kong Offices and Subsidiaries of the Authorized Institution that are Registered Insurance Agents / Brokers

For the 6-month period ended _____ (dd/mm/yy)

Name of Authorized Institution	Date of Submission

The Banking Ordinance

Information requested in this return is required under section 63(2) of the Banking Ordinance. The return should be submitted to the Monetary Authority not later than 21 days after the end of June and December each year, unless otherwise advised by the Monetary Authority.

Note: This return is to be prepared in accordance with the completion instructions issued by the Monetary Authority.

We certify that this return is, to the best of our knowledge and belief, correct.

Chief Accountant

Chief Executive

Name

Name

Name and telephone number of responsible person who may be contacted by the Monetary Authority in case of any enquiry.

Name

Telephone Number

Information of Insurance Intermediaries Appointed by the Authorized Institution and its Subsidiaries that are Registered Insurance Agents / Brokers

I. Number of insurance intermediaries appointed at end of period

1	<i>Insurance agent's Technical Representatives & Responsible Officer</i>	
	(a) Appointed by the authorized institution	0
	(b) Appointed by the subsidiaries that are registered insurance agents	0
	(c) Sub-total [=1(a)+1(b)]	0
2	<i>Insurance broker's Technical Representatives & Chief Executive</i>	
	(a) Appointed by the authorized institution	0
	(b) Appointed by the subsidiaries that are registered insurance brokers	0
	(c) Sub-total [=2(a)+2(b)]	0
3	Total [=1(c)+2(c)]	0

II. Business volume during reporting period

1	<i>Insurance agent business</i>	
	(a) Number of new policies [=1(a)(i)+1(a)(ii)]	0
	(i) Long term business	0
	(ii) General business	0
	(b) Total commission income in HK\$'000 [=1(b)(i)+1(b)(ii)]	0
	(i) Long term business	0
	(ii) General business	0
2	<i>Insurance broker business</i>	
	(a) Number of new policies	0
	(b) Total commission income in HK\$'000	0

III. Number of complaints relating to insurance intermediaries during reporting period

1	Outstanding number at beginning of period	0
2	New complaints	0
3	Complaints resolved	0
4	Outstanding number at end of period [=1+2-3]	0
5	Number of resolved complaints requiring further follow up actions	0

Memorandum items

Name of authorized institution's subsidiaries that are insurance agents:

- 1 _____
- 2 _____
- 3 _____

Name of authorized institution's subsidiaries that are insurance brokers:

- 1 _____
- 2 _____
- 3 _____