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(For Official Use Only)

# under the Banking Ordinance

## **RETURN OF LARGE EXPOSURES** \* LOCAL OFFICE(S) / LOCAL OFFICES AND OVERSEAS BRANCHES / CONSOLIDATED POSITION

for the quarter ended .....

\* Delete where inapplicable

(last day of the quarter)

Name of Authorized Institution

Date of Submission

### The Banking Ordinance

Information requested in this Return is required under section 63(2) of the Banking Ordinance. The Return should be submitted to the Monetary Authority not later than 6 weeks after the end of each quarter ending on 31 March, 30 June, 30 September and 31 December, unless otherwise advised by the Monetary Authority.

Note: This Return is to be prepared in accordance with the Completion Instructions issued by the Monetary Authority.

We certify that this Return is, to the best of our knowledge and belief, correct.

Chief Accountant

Name

Chief Executive

Name

Name and telephone number of responsible person who may be contacted by the Monetary Authority in case of any query.

Name

MA(BS)28 (Rev. 9/2019)

Telephone Number

#### I. Exposures to any non-bank connected party equal to or exceeding 5% of Tier 1 capital during the reporting period

Newsel of earlier											(In HK\$'000)
Name of entity	Maximum exposure					Exposures at	reporting date				-
	before CRM in			Exposure b		As % of Tier 1 capital		Memorandum item:			
	reporting period							CRM	(%)	CRM	Deductions
		On-balance sheet	Trading book	Off-balance sheet	Default risk	Exposures arising	Indirect exposures	= Sum of columns (3)			(amount of deduction
		exposures in the	exposures	exposures arising	exposures arising	from investment with		to (8)			according to rule 57
		banking book	(excluding those in	from commitments	from derivative	additional risk factor					of BELR excluded
			relation to column (6))		contracts and SFTs						from columns (3) to
		relation to columns		liabilities							(8))
		(5) and (6))									
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Memorandum items	Amount (in HK\$'000) Before CRM	Amount (in HK\$'000) After CRM	As % of Tier 1 capital (%) Before CRM	As % of Tier 1 capital (%) After CRM
Aggregate exposure to non-bank connected parties				
Aggregate exposure to connected natural persons				

(in HK\$'000)

#### II. Twenty largest exposures (and all those equal to or exceeding 10% of Tier 1 capital) before CRM during the reporting period

											(in HK\$'000)	
Name of entity / LC group	Maximum exposure	Exposures at reporting date										
	before CRM in reporting period			Exposure b	before CRM	CRM	As % of Tier 1 capital (%)	Total exposure after CRM	Memorandum item: Deductions			
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6))	Trading book exposures (excluding those in relation to column (6))	Off-balance sheet exposures arising from commitments and contingent liabilities	Default risk exposures arising from derivative contracts and SFTs	Exposures arising from investment with additional risk factor	Indirect exposures	= Sum of columns (3) to (8)			(amount of deduction according to rule 57 of BELR excluded from columns (3) to (8))	
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#### III. Twenty largest exposures (and all those equal to or exceeding 10% of Tier 1 capital) after CRM during the reporting period

Nome of antity (1.0 group	Maximum aunaauna					Fundation at	venesting data				(in HK\$'000
	Maximum exposure after CRM in reporting			Exposure	after CBM	reporting date	Total exposure after	As % of Tier 1 capital	Total exposure before	Memorandum item:	
				Exposure		CRM	(%)	CRM	Deductions		
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6))	Trading book exposures (excluding those in relation to column (6))	Off-balance sheet exposures arising from commitments and contingent liabilities	Default risk exposures arising from derivative contracts and SFTs	Exposures arising from investment with additional risk factor	Indirect exposures	= Sum of columns (3) to (8)			(amount of deduction according to rule 57 of BELR excluded from columns (3) to (8))
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Memorandum Items For monitoring clustering exposures:	Amount (in HK\$'000) After CRM	As % of Tier 1 capital (%) After CRM
Aggregate large exposure		

#### IV. Exempted exposures before CRM (other than intragroup exposures) equal to or exceeding 10% of Tier 1 capital

V. Exempted exposures before CRM (other than intragrou										(in HK\$'00 Provision(s) under			
Name of entity / LC group	Maximum exposure before CRM in reporting period		Exposures at reporting date Exempted Exposure before CRM Exempted Exposure before CRM Exempted C										
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6))	Trading book exposures (excluding those in relation to column (6))	exposures arising from commitments	Default risk exposures arising from derivative contracts and SFTs	from investment with	Indirect exposures	= Sum of columns (3) to (8)		granted			
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#### V. Intragroup exposures equal to or exceeding 5% of Tier 1 capital (local Als) or 20 largest intragroup exposures (overseas Als) during the reporting period

Name of entity	Maximum exposure					Exposures at	Exposures at reporting date										
	before CRM in			Exempted Expo	sure before CRM			Total exempted	As % of Tier 1 capital	Total exempted	Memorandum item:						
	reporting period	0.1.1	Techonical	Off-balance sheet	D. (. l. i.i.	exposure before CRM		exposure after CRM									
		On-balance sheet exposures in the	Trading book exposures		Default risk exposures arising from derivative		Indirect exposures	= Sum of columns (3) to (8)			(amount of deduction according to rule 57 of						
		banking book	(excluding those in	from commitments	contracts and SFTs	additional risk factor		10 (8)			BELR excluded from						
			relation to column (6))								columns (3) to (8))						
		relation to columns (5)		liabilities													
		and (6))															
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Total	0	0	0	0	0	0	0	0	0	0	0						

Memorandum Items	Amount	Amount	As % of Tier 1 capital	As % of Tier 1 capital
	(in HK\$'000)	(in HK\$'000)	(%)	(%)
	Before CRM	After CRM	Before CRM	After CRM
Aggregate intragroup exposure				

(in HK\$'000)