

SECRET

Information required under the Banking Ordinance

RETURN OF LARGE EXPOSURES

* LOCAL OFFICE(S) / LOCAL OFFICES AND OVERSEAS BRANCHES / CONSOLIDATED POSITION

As at

* Delete where inapplicable

Name of Authorized Institution	Date of Submission
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The Banking Ordinance

The Monetary Authority requires the submitting Authorized Institution to provide the information specified in this return to the Monetary Authority, in the form set out in the return, in accordance with section 63(2) of the Banking Ordinance.

The information, in the form of the duly completed return, must be submitted to the Monetary Authority not later than one month after the end of each quarter, unless otherwise advised by the Monetary Authority. If the submission deadline falls on a public holiday, it will be deferred to the next working day.

This return must be completed in accordance with the completion instructions issued by the Monetary Authority to ensure that all requisite information is provided and submitted in the required form.

We certify that this Return is, to the best of our knowledge and belief, correct.

Chief Accountant

Chief Executive

Name

Name

Name and telephone number of responsible person who may be contacted by the Monetary Authority in case of any query .

Name

Telephone Number

I. Exposures to any non-bank connected party equal to or exceeding 5% of Tier 1 capital during the reporting period

(in HK\$'000)

Name of entity (1)	Maximum exposure before CRM in reporting period (2)	Exposures at reporting date									
		Exposure before CRM						Total exposure before CRM = Sum of columns (3) to (8) (9)	As % of Tier 1 capital (%) (10)	Total exposure after CRM (11)	Memorandum item: Deductions (amount of deduction according to rule 57 of BELR excluded from columns (3) to (8)) (12)
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6)) (3)	Trading book exposures (excluding those in relation to column (6)) (4)	Off-balance sheet exposures arising from commitments and contingent liabilities (5)	Default risk exposures arising from derivative contracts and SFTs (6)	Exposures arising from investment with additional risk factor (7)	Indirect exposures (8)				
Total											

Memorandum items	Amount (in HK\$'000) Before CRM	Amount (in HK\$'000) After CRM	As % of Tier 1 capital (%) Before CRM	As % of Tier 1 capital (%) After CRM
Aggregate exposure to non-bank connected parties				
Aggregate exposure to connected natural persons				

II. Twenty largest exposures (and all those equal to or exceeding 10% of Tier 1 capital) before CRM during the reporting period

(in HK\$'000)

Name of entity / LC group (1)	Maximum exposure before CRM in reporting period (2)	Exposures at reporting date									
		Exposure before CRM						Total exposure before CRM = Sum of columns (3) to (8) (9)	As % of Tier 1 capital (%) (10)	Total exposure after CRM (11)	Memorandum item: Deductions (amount of deduction according to rule 57 of BELR excluded from columns (3) to (8)) (12)
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6)) (3)	Trading book exposures (excluding those in relation to column (6)) (4)	Off-balance sheet exposures arising from commitments and contingent liabilities (5)	Default risk exposures arising from derivative contracts and SFTs (6)	Exposures arising from investment with additional risk factor (7)	Indirect exposures (8)				
Total											

III. Twenty largest exposures (and all those equal to or exceeding 10% of Tier 1 capital) after CRM during the reporting period

(in HK\$'000)

Name of entity / LC group (1)	Maximum exposure after CRM in reporting period (2)	Exposures at reporting date									
		Exposure after CRM						Total exposure after CRM = Sum of columns (3) to (8) (9)	As % of Tier 1 capital (%) (10)	Total exposure before CRM (11)	Memorandum item: Deductions (amount of deduction according to rule 57 of BELR excluded from columns (3) to (8)) (12)
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6)) (3)	Trading book exposures (excluding those in relation to column (6)) (4)	Off-balance sheet exposures arising from commitments and contingent liabilities (5)	Default risk exposures arising from derivative contracts and SFTs (6)	Exposures arising from investment with additional risk factor (7)	Indirect exposures (8)				
Total											

Memorandum Items	Amount (in HK\$'000) After CRM	As % of Tier 1 capital (%) After CRM
For monitoring clustering exposures: Aggregate large exposure		

IV. Exempted exposures before CRM (other than intragroup exposures) equal to or exceeding 10% of Tier 1 capital

(in HK\$'000)

Name of entity / LC group (1)	Maximum exposure before CRM in reporting period (2)	Exposures at reporting date							Provision(s) under which exemption is granted (11)	
		Exempted Exposure before CRM						Total exempted exposure before CRM = Sum of columns (3) to (8) (9)		As % of Tier 1 capital (%) (10)
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6)) (3)	Trading book exposures (excluding those in relation to column (6)) (4)	Off-balance sheet exposures arising from commitments and contingent liabilities (5)	Default risk exposures arising from derivative contracts and SFTs (6)	Exposures arising from investment with additional risk factor (7)	Indirect exposures (8)			
Total										

V. Intragroup exposures equal to or exceeding 5% of Tier 1 capital (local AIs) or 20 largest intragroup exposures (overseas AIs) during the reporting period

(in HK\$'000)

Name of entity (1)	Maximum exposure before CRM in reporting period (2)	Exposures at reporting date									
		Exempted Exposure before CRM						Total exempted exposure before CRM = Sum of columns (3) to (8) (9)	As % of Tier 1 capital (%) (10)	Total exempted exposure after CRM (11)	Memorandum item: Deductions (amount of deduction according to rule 57 of BELR excluded from columns (3) to (8)) (12)
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6)) (3)	Trading book exposures (excluding those in relation to column (6)) (4)	Off-balance sheet exposures arising from commitments and contingent liabilities (5)	Default risk exposures arising from derivative contracts and SFTs (6)	Exposures arising from investment with additional risk factor (7)	Indirect exposures (8)				
Total											

Memorandum Items	Amount (in HK\$'000) Before CRM	Amount (in HK\$'000) After CRM	As % of Tier 1 capital (%) Before CRM	As % of Tier 1 capital (%) After CRM
Aggregate intragroup exposure				