SECRET



(For Official use Only)

Information required under the Banking Ordinance

CERTIFICATE OF COMPLIANCE WITH THE BANKING ORDINANCE OF AN AUTHORIZED INSTITUTION INCORPORATED OUTSIDE HONG KONG

As at		
Name o	f Authorized Institution	Date of Submission
	T. D. J.	
		ng Ordinance
	the Monetary Authority, in the form set out in the	ed Institution to provide the information specified in this ne return, in accordance with section 63(2) of the Banking
days after		st be submitted to the Monetary Authority not later than 14 by the Monetary Authority. If the submission deadline falls day.
	n must be completed in accordance with the com t all requisite information is provided and submitt	mpletion instructions issued by the Monetary Authority to tted in the required form.
1. We ce	ertify that this certificate is, to the best of our kno	owledge and belief, correct.
	e put an "X" in the "Yes" column if the institution ng Ordinance during the reporting period. If not,	Yes No
		(Please put an X")
se	n respect of Part 4 of the Rules made under sec ecurity of own shares, etc., within the meaning of nder rule 28, was granted by the institution.	
aı	n respect of Part 5 of the Rules made under secti mount in relation to employees, within the mean llowed under rule 32, did not exceed the limit spec	ning of rule 29(1), other than those
	Liquidity requirement prescribed in the Rules rpplicable to the institution.	made under section 97(H)1 that is
(d) R	ules made under section 60A(1)	
	the answer to (d) is "yes", please specify the relevent contravened and the disclosure statement to which t	
	The institution is exempted by the Monetary Author Rules, please tick this box □.	hority for disclosures required under
	Chief Accountant	Chief Executive
	Name	
Name and	telephone number of responsible person who may be	contacted by the Monetary Authority in case of any query.
	Name	Telephone Number