## **ECF on Fintech (ECF-Fintech) - Modular Exemption**

(Updated on 3 December 2021)

An Relevant Practitioner (RP) may be exempted on specified modules based on completion of eligible training/professional programmes. Please note that the lists of eligible training/professional programmes below are not meant to be exhaustive. Other training/professional programmes covering syllabus that have significant overlap with that of the ECF-Fintech can also be considered for modular exemption on a case-by-case basis. The detailed lists of eligible training/professional programmes for modular exemption are as follows:

(a) Module 1 - Technology Essentials (Core Level)

An RP who has passed any of the following training/professional programme(s) is eligible to apply for exemption on **Module 1** of the ECF-Fintech **Core Level** training programme:

| Training Programme (University Degree)        | Programme Provider           |
|---|------------------------------|
| BEng Fintech                                  | Chinese University of Hong   |
|   | Kong                         |
| MSc Fintech                                   | Chinese University of Hong   |
|   | Kong                         |
| BSc Computational Finance and Financial       | City University of Hong Kong |
| Technology                                    | City Chiveisity of Hong Kong |
| Associate of Science (Financial Technology)   | College of International     |
|   | Education, Hong Kong Baptist |
|   | University                   |
| MSc Finance (Fintech and Financial Analytics) | Hong Kong Baptist University |
| BBA (Hon) Financial Technology and            | Hong Kong Metropolitan       |
| Innovation                                    | University                   |
| BSc (Hon) Financial Technology and Artificial | Hong Kong Polytechnic        |
| Intelligence                                  | University                   |
| Doctor Financial Technology                   | Hong Kong Polytechnic        |
|   | University                   |
| BCom (Hon) in Financial Technology            | Hong Kong Shue Yan           |
| BCom (Hon) in Financial Technology            | University                   |
| MSc Fintech                                   | Hong Kong University of      |
|   | Science and Technology       |
| Advanced Diploma in FinTech                   | HKU SPACE                    |

| Executive Certificate in FinTech               | HKU SPACE               |
|--|-------------------------|
| Executive Certificate in Banking and Financial | HKU SPACE               |
| Technology                                     |                         |
| BASc Financial Technology                      | University of Hong Kong |
| MSc Fintech                                    | University of Hong Kong |
| MSc Financial Technology and Data Analytics    | University of Hong Kong |

| Training Programme (Online Courses)               | Programme Provider      |
|---|-------------------------|
| Harvard Fintech Online Short Course with          | Harvard University      |
| Harvard VPAL Premier Certificate                  | Harvard Oniversity      |
| FinTech: Finance Industry Transformation and      | Hong Kong University of |
| Regulation Specialization of Coursera             | Science and Technology  |
| Fintech: Innovation and Transformation in         | National University of  |
| Financial Services                                | Singapore               |
| The Future of Finance Professional Certificate of | University of Texas     |
| edX   |                         |

| Professional Programme                        | Programme Provider              |
|---|---------------------------------|
|   | Shenzhen Fintech Association,   |
| Shenzhen-Hong Kong-Macau Fintech              | Chinese Financial Association   |
| Professional Programme Level 1                | of Hong Kong, and Macau         |
|   | Institute of Financial Services |
| Certificate in Finance and Technology Level 1 | Institute of Financial          |
|   | Technologists of Asia           |

(b) Module 2 - Banking and Risk Essentials (Core Level)

An RP who has passed any of the following training programme(s) is eligible to apply for exemption on **Module 2** of the ECF-Fintech **Core Level** training programme:

| Training Programme (University Degree) | Programme Provider     |
|--|------------------------|
| BCom (Hon) in Financial Technology     | Hong Kong Shue Yan     |
|  | University             |
| BBA (Hon) Financial Technology and     | Hong Kong Metropolitan |
| Innovation                             | University             |

| Training Programme (Advanced Diploma)   | Programme Provider                    |
|---|---------------------------------------|
| Advanced Diploma for Certified Banker –<br>"Fundamental of Banking", "Professional Ethics | The Hong Kong Institute of<br>Bankers |
| and Compliance", and "Risk Management"  |                                       |

| Advanced Diploma for Certified Banker –       |                            |
|---|----------------------------|
| "Fundamental of Banking", and ECF-Operational | The Hone Kone Institute of |
| Risk Management / ECF-Compliance – "Module    | The Hong Kong Institute of |
| 2: Regulatory Framework and Compliance in the | Bankers                    |
| Banking Industry"                             |                            |

- (c) Module 4 Fundamental Software Development (Core Level)
  - i) An RP who has passed any of the following training programme(s) is eligible to apply for exemption on **Module 4** of the ECF-Fintech **Core Level** training programme:

| Training Programme (University Degree)        | Programme Provider            |
|---|-------------------------------|
| BEng Fintech                                  | Chinese University of Hong    |
|   | Kong                          |
| MSc Fintech                                   | Chinese University of Hong    |
|   | Kong                          |
| BSc Computational Finance and Financial       | City University of Hong Kong  |
| Technology                                    | City University of Hong Kong  |
|   | College of International      |
| Associate of Science (Financial Technology)   | Education, Hong Kong Baptist  |
|   | University                    |
| MSc Finance (Fintech and Financial            | Hong Kong Baptist University  |
| Analytics)                                    | Tiong Kong Baptist Oniversity |
| BSc (Hon) Financial Technology and Artificial | Hong Kong Polytechnic         |
| Intelligence                                  | University                    |
| Doctor Financial Technology                   | Hong Kong Polytechnic         |
| Doctor i manetar reenhology                   | University                    |
| BCom (Hon) in Financial Technology            | Hong Kong Shue Yan            |
|   | University                    |
| MSc Fintech                                   | Hong Kong University of       |
|   | Science and Technology        |
| Advanced Diploma in FinTech                   | HKU SPACE                     |
| BASc Financial Technology                     | University of Hong Kong       |
| MSc Fintech                                   | University of Hong Kong       |
| MSc Financial Technology and Data Analytics   | University of Hong Kong       |

- ii) An RP who has completed at least one of the certifications in any one of the following specialist areas from key market players and service or platform providers is eligible to apply for exemption on Module 4 of the ECF-Fintech Core Level training programme:
  - <u>Artificial Intelligence and Big Data Analytics</u>: e.g. AWS Machine Learning Specialty, Microsoft AI Engineer, AWS Data Analytics – Specialty, Microsoft Data Engineer, Microsoft Data scientist, Google Data Analytics, etc.
  - <u>Cloud Computing for Business Applications</u>: e.g. AWS Certified Cloud Practitioner, GCP Associate Cloud Engineer, Alibaba Cloud Certified Associate-Cloud Computing, Microsoft Certified: Azure Fundamentals, etc.
  - <u>Blockchain and Distributed Ledger Technology</u>: e.g. Blockchain Certification Course (from EC Council), P. G. Diploma in Blockchain Technology (from UpGrad), Certified Enterprise Blockchain Architect (CEBA), Blockchain Technology — EdX, Blockchain Fundamentals Certificate (from ISACA), etc.