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SECRET

高度機密

under the Banking Ordinance
根據銀行業條例

CERTIFICATE OF COMPLIANCE WITH THE BANKING ORDINANCE OF AN AUTHORIZED INSTITUTION INCORPORATED OUTSIDE HONG KONG 海外註冊認可機構遵守銀行業條例證明書

for the quarter ended _____
在結束於 (last day of the quarter 該季最後一天) 一季內的狀況

Name of Authorized Institution 認可機構名稱	Date of Submission 遞交日期
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The Banking Ordinance 銀行業條例

Information required under section 63(2) of the Banking Ordinance to be submitted to the Monetary Authority not later than 14 days after the end of each quarter ending on 31 March, 30 June, 30 September and 31 December, unless otherwise advised by the Monetary Authority.
除金融管理局另有指示外，銀行業條例第63(2)條規定的資料須於三月三十一日、六月三十日、九月三十日及十二月三十一日每季終結後起計十四天內交回金融管理局。

Note: This certificate is to be prepared in accordance with the completion instructions issued by the Monetary Authority.

註：本證明書須按金融管理局發出的填報指示填寫。

We certify that:
我們證明：

- This certificate is, to the best of our knowledge and belief, correct.
據我們所知及確信，本證明書內容均屬正確無誤。
- During the reporting period 申報期內—
 - No advance, etc., against security of own shares, etc., within the meaning of section 80, other than those exempted under section 80(2), was granted by the institution.
除根據第80(2)條獲豁免者外，申報機構並無批給第80條所指以本身股份作保證的放款等。
 - Outstanding unsecured facilities to employees, within the meaning of section 85, did not exceed the limit specified in that section.
第85條所指的向僱員提供的未償還無保證融通並無超越該條指明的限額。
 - The liquidity ratio, within the meaning of section 102, was not less than 25 percent in each calendar month.
第102條所指的流動資產比率在每個公曆月內並不少於25%。

Note: Reference to sections are to sections of the Banking Ordinance.
註：上文提及的條款是指銀行業條例內的條款。

Chief Accountant
會計主任

Chief Executive
行政總裁

Name
姓名

Name
姓名

Name and telephone number of responsible person who may be contacted by the Monetary Authority in case of any query.
金融管理局有疑問時可聯絡的負責人姓名和電話號碼。

Name
姓名

Telephone Number
電話號碼