

E

SECRET
高度機密

Co. No					M M		Y Y		Cat	
代號					月		年		類別	
(For Official Use only) (只供本局填寫)										

under the Banking Ordinance
根據銀行業條例

RETURN OF LARGE EXPOSURES
大額風險申報表

POSITION OF
*LOCAL OFFICE(S)/LOCAL OFFICES AND OVERSEAS BRANCHES/CONSOLIDATED RETURN
*本地辦事處 / 本地辦事處及海外分行 / 綜合 / 的狀況

for the quarter ended
截至 (last day of the quarter 該季最後一天)

*Delete where inapplicable. 請刪去不適用者

Name of Authorized Institution 認可機構名稱	Date of Submission 遞交日期
--	----------------------------

The Banking Ordinance
銀行業條例

Return required under section 63(2) of the Banking Ordinance to be submitted to the Monetary Authority not later than 6 weeks after the end of each quarter ending on 31 March, 30 June, 30 September and 31 December, unless otherwise advised by the Monetary Authority.

除金融管理局另有指示外，根據銀行業條例第63(2)條規定本申報表須於三月三十一日、六月三十日、九月三十日及十二月三十一日每季終結後起計六週內交回金融管理局。

Note : This return is to be prepared in accordance with the completion instructions issued by the Monetary Authority.
註：本申報表須按金融管理局發出的填報指示填寫。

We certify that this return is, to the best of our knowledge and belief, correct.
我們據所知及確信，證明本申報表內容均屬正確無誤。

Chief Accountant
會計主任

Chief Executive
行政總裁

Name
姓名

Name
姓名

Name and telephone number of responsible person who may be contacted by the Monetary Authority in case of any query.
金融管理局有疑問時可聯絡的負責人姓名和電話號碼。

Name
姓名

Telephone Number
電話號碼

I. Large exposures to non-bank entities

對非銀行個體的大額風險

(a) Exposures to any connected party exceeding 5% of capital base during the reporting period
申報期內提供予任何有連繫人士超逾資本基礎5%的風險

(in HK\$'000)
(港幣千元)

Name of entity 個體名稱	Maximum exposure in reporting period 申報期內的最大風險額 (1)	Exposures at reporting date 截至申報日期的風險					Nature of Exposures* 風險性質			Information on security cover** 有關抵押品的資料
		On-balance sheet exposures 資產負債表內的風險 (2)	Off-balance sheet exposures 資產負債表以外的項目		Total exposures 風險總額		Direct Exposures 直接風險	Indirect Exposures 間接風險	Including exposures to bank 包括對銀行的風險	Fully secured (F) Partially secured (P) Unsecured (U) 十足保證 (F) 部分保證 (P) 沒有保證 (U)
			Commitments and contingencies 承諾信貸及或有債項 (3)	Foreign exchange and interest rate contracts 外匯及利率合約 (4)	Amount 數額 =(2)+(3)+(4) (5)	As % of capital base 佔資本基礎百分率				

* Please tick as appropriate 請加上

** Please indicate F, P or U, as the case may be 請按照情況列明為F, P或U

Memorandum items 備忘項目

Aggregate exposures to connected parties 對有連繫人士的風險合計

(i) Secured 有保證 \$ _____

(ii) Unsecured 無保證 \$ _____

(iii) Total 總額 \$ _____

(b) Ten largest other exposures and all those exceeding 10% of capital base during the reporting period
 申報期內提供的十大其他風險及所有超逾資本基礎10%的其他風險

(in HK\$'000)

(港幣千元)

Name of entity 個體名稱	Maximum exposure in reporting period 申報期內的最大風險額 (1)	Exposures at reporting date 截至申報日期的風險					Nature of Exposures* 風險性質			Information on security cover ** 有關抵押品的資料
		On-balance sheet exposures 資產負債表內的風險 (2)	Off-balance sheet exposures 資產負債表以外的項目		Total exposures 風險總額		Direct Exposures 直接風險	Indirect Exposures 間接風險	Including exposures to bank 包括對銀行的風險	Fully secured (F) Partially secured (P) Unsecured (U) 十足保證 (F) 部分保證 (P) 沒有保證 (U)
			Commitments and contingencies 承諾信貸及或有債項 (3)	Foreign exchange and interest rate contracts 外匯及利率合約 (4)	Amount 數額 =(2)+(3)+(4) (5)	As % of capital base 佔資本基礎百分率				

* Please tick as appropriate 請加上

** Please indicate F, P or U, as the case may be 請按照情況列明為F, P或U

II. Ten largest exposures (and all those exposures exceeding 10% of capital base) to banks during the reporting period
申報期內對銀行的十大風險(及所有超逾資本基礎10%的對銀行風險)

(in HK\$'000)
(港幣千元)

Name of entity 個體名稱	Maximum exposure in reporting period 申報期內的最大風險額	Exposures at reporting date 截至申報日期的風險					Nature of Exposures* 風險性質			Information on security cover** 有關抵押品的資料
		On-balance sheet exposures 資產負債表內的風險	Off-balance sheet exposures 資產負債表以外的項目		Total exposures 風險總額		Direct Exposures 直接風險	Indirect Exposures 間接風險	Including exposures to non-bank 包括對非銀行的風險	Fully secured (F) Partially secured (P) Unsecured (U) 十足保證 (F) 部分保證 (P) 沒有保證 (U)
			Commitments and contingencies 承諾信貸及或有債項	Foreign exchange and interest rate contracts 外匯及利率合約	Amount 數額 =(2)+(3)+(4)	As % of capital base 佔資本基礎百分率				
(1)	(2)	(3)	(4)	(5)						

* Please tick as appropriate 請加上

** Please indicate F, P or U, as the case may be 請按照情況列明為F, P或U